

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

OCT 17 2003

Docket No.
15689.50

In Re Application Of: Hiroshi Kawakami, et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/423,131	November 5, 1999		2666

Title: METHOD AND APPARATUS FOR TRAFFIC CONTROL

RECEIVED

OCT 23 2003

Technology Center 2600

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

O I P E
OCT 17 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.50

Re Application: Hiroshi Kawakami, et al.

Serial No.

09/423,131

Filing Date

November 5, 1999

Examiner

Group Art Unit

2666

METHOD AND APPARATUS FOR TRAFFIC CONTROL

RECEIVED

OCT 23 2003

Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

Dated: October 17, 2003

ADRIAN J. LEE
Attorney for Applicant
Reg. No.: 42,785
Customer No.: 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Hiroshi Kawakami, et al.

Docket No.

15689.50

Serial No.

09/423,131

Filing Date

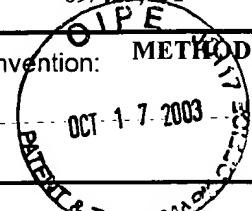
November 5, 1999

Examiner

Group Art Unit

2666

Invention: METHOD AND APPARATUS FOR TRAFFIC CONTROL

**RECEIVED**

OCT 23 2003

Technology Center 2600

I hereby certify that the following correspondence:

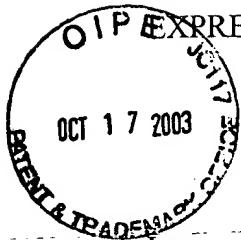
(see below)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

October 17, 2003*(Date)*ADRIAN J. LEE*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 302233385 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (2 references)
Certificate of Express Mail (EV302233385US);
Acknowledgment Postcard



EXPRESS MAIL NO. EV 302233385US

PATENT APPLICATION
Docket No: 15689.50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hiroshi Kawakami, et al.

Serial No. 09/423,131

Filed: November 5, 1999

For: METHOD AND APPARATUS FOR TRAFFIC
CONTROL

)
)
)
) Art Unit
) 2666
)
)
)
)
)
)

RECEIVED

OCT 23 2003

Technology Center 2600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed. Note particularly, that English language reference WO95/27384 corresponds to Chinese language reference CN1145157A and thus serves as its English translation.

DATED October 17, 2003.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No.: 022913

WORKMAN NYDEGGER
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Fax: (801) 328-1707

AJL: ds
W:\15689\50\DS000001335V001.doc